

# Promoter elements associated with RNA Pol II stalling in the *Drosophila* embryo

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**RNA Polymerase II (Pol II) is bound to the promoter regions of many or most developmental control genes before their activation during *Drosophila* embryogenesis. It has been suggested that Pol II stalling is used to produce dynamic and rapid responses of developmental patterning genes to transient cues such as extracellular signaling molecules. Here, we present a combined computational and experimental analysis of stalled promoters to determine how they come to bind Pol II in the early *Drosophila* embryo. At least one-fourth of the stalled promoters contain a shared sequence motif, the "pause button" (PB): KCGRWCG. The PB motif is sometimes located in the position of the DPE, and over one-fifth of the stalled promoters contain the following arrangement of core elements: GAGA, Inr, PB, and/or DPE. This arrangement was used to identify additional stalled promoters in the *Drosophila* genome, and permanganate footprint assays were used to confirm that the segmentation gene *engrailed* contains paused Pol II as seen for heat-shock genes. We discuss different models for Pol II binding and gene activation in the early embryo.**

bioinformatics | core promoter motifs | developmental biology

The dorsal-ventral (DV) patterning of the *Drosophila* embryo is controlled by one of the best-defined gene regulatory networks known for any developmental process (1–6). It serves as a model for developmental patterning and provides a unique opportunity to study the mechanisms of transcriptional regulation *in vivo*. To characterize Pol II transcription in the DV pathway, comprehensive ChIP-chip Pol II binding assays were performed with *Toll*<sup>10b</sup> mutant embryos (7). Although DV patterning is initiated by a broad Dorsal nuclear gradient that regulates the expression of target genes in a concentration-dependent manner (3, 4), *Toll*<sup>10b</sup> mutant embryos contain uniformly high levels of Dorsal (5). As a result, early mesodermal patterning genes, such as *Mes2*, *Heartless* (FGF receptor), and *WntD* are expressed throughout the embryo (3–5). In contrast, developmental control genes that initiate the specification of the neurogenic and dorsal ectoderm (e.g., *rho*, *ind*, *sog*, and *tup*) are uniformly repressed by the *Toll*<sup>10b</sup> embryos.

ChIP-chip Pol II binding assays in *Toll*<sup>10b</sup> mutant embryos showed that many repressed genes contain high levels of Pol II near the transcription start site (7). Closer examination revealed that Pol II is predominantly found in an inactive, stalled form at these genes (7, 8). Many sites of stalled Pol II appear to represent classical instances of promoter-proximal pausing as described at *Drosophila* heat shock genes (e.g., ref. 9). Stalled Pol II is not only seen at repressed genes but also at a large number of genes that are activated in older embryos, within 12 h after the timeframe of the analysis. For example, a number of genes that are activated in specific mesodermal cell types, such as the cardiac mesoderm, display stalling. Thus, Pol II stalling might be used for the dual purpose of repressing gene expression, and preparing genes for rapid induction at later stages of development.

Altogether, there are ≈1,500 genes in the early *Drosophila* embryo that contain disproportionately high levels of Pol II near the transcription start site as compared with internal regions of

the genes (7, 8). These putative stalled loci include a large number of developmental control genes, including Hox genes, and genes encoding components of various signaling pathways. Indeed, nearly 75% of all DV patterning genes contain stalled Pol II. In contrast, housekeeping genes contain similar levels of Pol II in 5' and 3' regions of the transcription units. It is conceivable that Pol II stalling depends on core promoter elements that are absent in constitutively expressed housekeeping genes.

We searched the promoter regions of stalled and constitutively expressed genes for over-represented sequence motifs that might explain the divergent behavior of Pol II binding. Stalled promoters were found to contain a significantly higher GC content and CpG content than constitutive promoters in the region spanning +1 to +60 downstream of the transcription start site. This analysis identified a new core promoter motif [the pause button (PB); KCGRWCG] that was used to predict additional stalled genes in the *Drosophila* genome. KMnO<sub>4</sub> footprint assays were used to confirm that the segmentation gene *engrailed* contains stalled Pol II. We discuss the possibility that the regulation of Pol II elongation is a critical mechanism for differential gene activity during development.

## Results

**Promoter Regions of Stalled Genes Contain Enriched GC and CpG Content.** To determine whether promoter sequence elements might contribute to Pol II stalling, we performed DNA sequence analysis with the ≈1,500 stalled promoters identified (7). These genes contain at least 4-fold higher levels of Pol II in the promoter region vs. internal regions of the transcription unit (stalling index >4). The promoter sequences from these genes were then compared with ≈3,000 promoter sequences from constitutively expressed (active) genes, which contain <2-fold higher levels of Pol II in the promoter region vs. the transcription unit (stalling index <2).

The most striking and immediate finding of this comparison is that the promoter regions associated with stalled genes contain a much higher GC content than those associated with constitutive genes, particularly in 3' regions of the core promoter [see the [supporting information \(SI\)](#)]. In addition, there is a 1.8-fold enrichment of CpG dinucleotides in the region located between +1 and +50 of stalled promoters compared to active promoters (see [SI](#) and Table 1). This observation raises the possibility that

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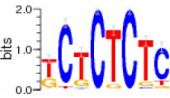
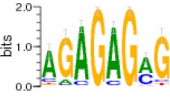


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**Table 1. Statistically significant motifs**

Motif	LOGO	Enriched window	Active		Stalled	
			Density per kb	Percentage	Density per kb	Percentage
Inverted GAGA		[−295 to −25]	0.42	7	3.35	26
GAGA		[−425 to −5]	0.28	7	2.15	26
Inr		[−25 to +35]	5.68	27	14.48	58
Pause button		[−5 to +55]	0.67	4	4.79	24

Most significant motifs found in *ab initio* motif search. The presence of each of these motifs shows a highly significant correlation with the stalling index.

the region of enriched GC content contributes to the formation of stalled Pol II (see *Discussion*).

*Ab initio* motif analysis was performed to identify the motifs that are most commonly found in stalled promoters (Table 1 and SI). The enhanced CpG content can be explained, in part, by the presence of specific CpG rich sequence motifs in proximal regions of the stalled promoters. The most enriched motif has the consensus sequence KCGRWCG (Fig. 1C and Table 1), which we named the pause button (PB). Approximately one-fourth of all stalled promoters contain a copy of this motif between +1 and +60, with the most common location at +20 to +30.

**GAGA, Inr, and DPE Motifs Are Over-Represented in Stalled Promoter Regions.** Further analysis of the stalled vs. constitutive promoter sequences revealed that a number of known sequence motifs are over-represented in stalled promoters. First, GAGA elements, which are known to be essential for Pol II pausing at heat shock genes (10–12), are enriched in proximal regions of many stalled promoters. GAGA motifs are typically found between −250 bp and −50 bp upstream of the transcription start site (Fig. 1A) with the strongest enrichment residing between −100 bp and −80 bp. GAGA elements are also sometimes seen 3' of the transcription start site (TSS), near the PB or DPE (see below). Such elements are quite common, but the stalled promoters contain  $\approx$ 8-fold higher densities of the GAGA motif compared with constitutive promoters (Table 1). GAGA-binding proteins might facilitate the access of Pol II to stalled promoters by fostering an open chromosomal conformation (10–12) (see *Discussion*).

Second, specific core promoter elements are significantly over-represented in stalled promoters. The most common elements are the TATA, Inr, and DPE motifs (13). All three elements are responsible for the binding of TFIID to the core promoter, although it is possible that TFIID adopts different conformations when recruited via TATA or DPE (14). Although TATA is not significantly associated with stalled promoters—it is found in approximately the same fraction of stalled and constitutive promoters (see SI)—the Inr and DPE motifs are significantly enriched in promoters with stalled Pol II. Note that we use the term “DPE” to refer to matches to the DPE consensus in the preferred positions relative to the TSS (15) rather than imposing additional spacing constraints with the Inr (16).

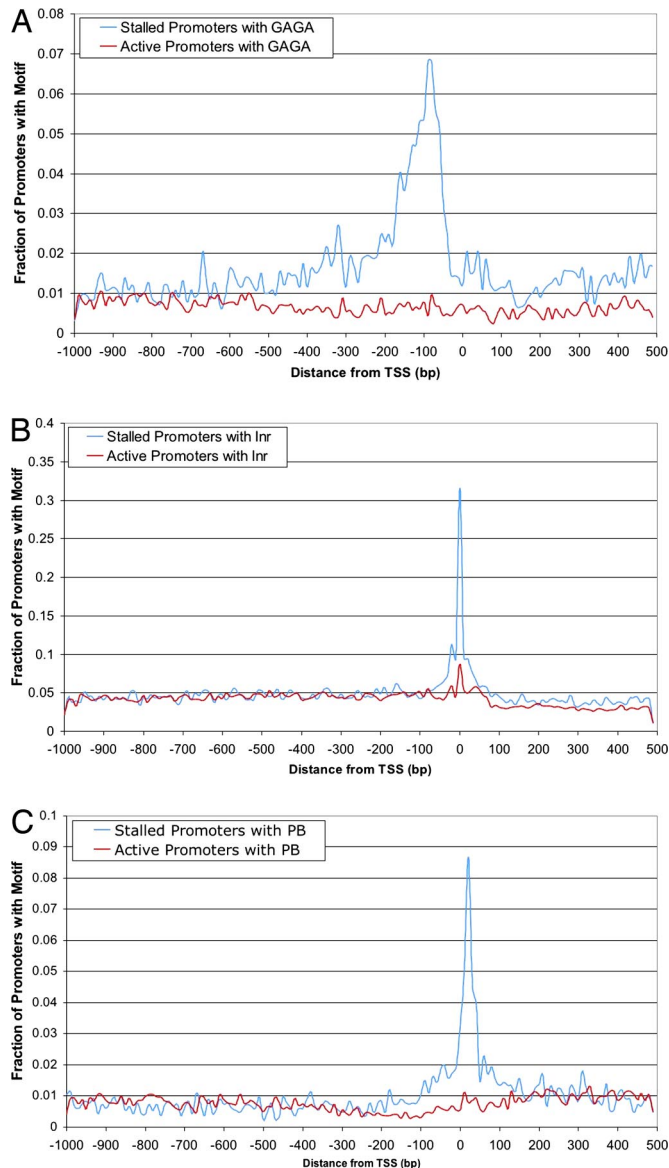
Using previously defined consensus sequences and windows (17), the Inr motif is found in 46.2% of stalled promoters and just 23.6% of active promoters, whereas DPE motifs are detected in

29.3% of stalled promoters compared with 16.1% of active promoters. In both cases, there is  $\approx$ 2-fold enrichment of the core promoter elements in the stalled promoters (see SI). In contrast, constitutive promoters contain a  $\approx$ 2-fold enrichment of the DRE motif, which is thought to function as an alternate TATA element (18).

The PB motif described above could also serve as a core promoter element. It is similar to the DPE motif (Fig. 2) and often found at +25 to +35, although the PB has a broader distribution of positions than the DPE. Indeed, matches to the standard DPE consensus in stalled promoters are somewhat more GC-rich than those in active promoters (Fig. 2) and resemble the PB in base composition. These results suggest that specialized core promoter elements might contribute to the propensity of Pol II to stall after transcriptional initiation (see *Discussion*).

**The Combination of GAGA, Inr, and PB Motifs Best Predicts Stalled Promoters.** We next asked which motif combinations best predict the occurrence of stalled Pol II (Fig. 3). The most predictive combination is the cooccurrence of the GAGA, Inr, and PB motifs. It is seen for 133 stalled promoters, but just 5 constitutive promoters, representing a striking 26.6-fold enrichment for stalled promoters (Table 2). The second most enriched class of stalled promoters contain GAGA, Inr, and DPE elements. 157 of the stalled promoters fall into this category, whereas only 21 constitutive promoters contain all three elements (Table 3), which is an  $\approx$ 7.5-fold enrichment for stalled genes. Altogether, over a fifth of the stalled promoters contain GAGA, Inr, and either the PB or DPE motif. These are the most predictive combinations of core elements for identifying stalled promoters (see below). In contrast, <2% of the  $\approx$ 3,000 constitutive promoters contain these combinations of motifs.

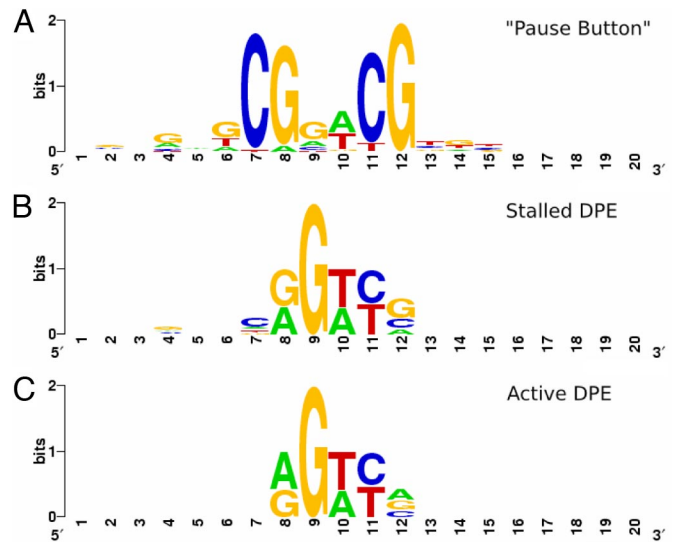
**Motif Analysis Improves the Identification of Stalled Genes.** If the identified sequence elements are strong predictors for Pol II stalling, then it should be possible to classify those genes that were previously found to contain ambiguous stalling indices (7). Furthermore, Pol II stalling is dynamic and genes that have stalled Pol II in one tissue may have an active form of Pol II in another (7). Thus, the prediction of stalled promoters based on sequence analysis could provide clues as to whether a gene contains the stalled form of Pol II in any tissue. Indeed, we found



**Fig. 1.** Motif positional distributions. These figures demonstrate the positional frequency of GAGA, Inr, and PB motifs. The curve shows the fraction of promoters from a given set (stalled or active) that have an instance of the motif in discrete and nonoverlapping 10-bp windows relative to the TSS, centered on multiples of 10.

many examples where sequence information helped identify genes with stalled or active Pol II.

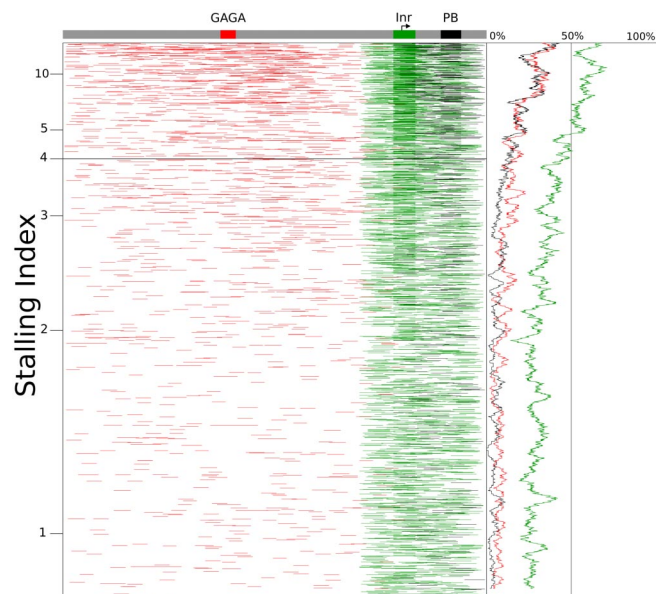
The *usnp* gene, which encodes a protein involved in neurotransmitter release, was classified as stalled (7) but contains core promoter elements typical of either inactive or constitutively expressed genes (Fig. 4*A* and *B*). The promoter lacks all of the features that are enriched in stalled genes—GAGA, Inr, PB, and/or DPE—but contains a DRE motif, which is enriched in constitutive promoters. Closer inspection suggests that the gene was assigned a stalling index score  $>4$  due to Pol II binding at a closely linked gene, CG5554, which is constitutively expressed and encodes a metabolic enzyme (protein disulfide isomerase). To confirm that *usnp* does not contain stalled Pol II, permanganate footprint assays were performed with *Toll*<sup>10b</sup> embryos as described in ref. 7. This method identifies exposed T (and sometimes C) residues in regions of denatured DNA, as seen for transcription bubbles formed at Pol II pause sites. No hyper-



**Fig. 2.** PB vs. DPE. (A) LOGO for the “Pause Button” (PB) motif, computed from instances in stalled promoters. (B) LOGO for matches to the DPE consensus (RGWYV) in stalled promoters. (C) LOGO for matches to the DPE consensus in active promoters. Stalled promoters show a DPE base composition that more closely matches the PB LOGO. Positions on the x axis of LOGOs do not represent position relative to the TSS, but show a 20-bp window around the core of each motif, centered such that positions of similar base composition match for each motif.

sensitive T or C residues were found in the core promoter and associated regions (Fig. 4C), consistent with the absence of paused Pol II (see below).

*Mes2* is one of the genes that is predicted to contain stalled Pol



**Fig. 3.** Graphical representation of promoter sequences. All promoters sorted by stalling index in *Toll*<sup>10b</sup> mutants. This figure demonstrates that stalled genes have a higher concentration of GAGA (red), Inr (green), and PB (black) motifs. The main section of the figure shows a horizontal line for each promoter (one pixel thick), with a colored line representing an instance of a motif. The curve on the right shows the percentage of promoters with an occurrence of each motif in a 100-promoter window as a function of stalling index. The schematic representation of a promoter (top) shows a rectangle for each motif in its modal position.



**Table 2. GAGA-Inr-PB synergy**

GAGA	Inr	Pause button	Total, no.	Stalled, %	Active, %	Ambiguous, %	Fold enrichment
+	+	+	165	81	3	16	27
+	+	-	466	52	16	32	3.4
+	-	+	73	55	12	33	4.4
-	+	+	209	63	16	21	3.9
+	-	-	553	33	32	35	1.0
-	+	-	1,515	24	34	42	0.7
-	-	+	183	38	23	39	1.7
-	-	-	3,373	14	43	43	0.3

Promoters that have all three of GAGA, Inr, and DPE show a greater likelihood of being stalled vs promoters that have two, one, or no occurrences of either. Although more promoters can be explained with a GAGA-Inr-DPE model, GAGA-Inr-PB promoters show a greater enrichment of stalled promoters (see Table 3).

II based on promoter structure, but is specifically expressed in the mesoderm (Fig. 4D). *Mes2* encodes a putative SANT-domain transcription factor (19) that is activated throughout *Toll*<sup>10b</sup> mutant embryos. As a result, it has a Pol II index score below the cut-off for stalling (7) (Fig. 4D). Sequence information, however, predicts that the core promoter should contain stalled Pol II because it contains multiple GAGA sites (four located 200 bp upstream of the transcription start site, and one located downstream) (Fig. 4E, in red), and a PB motif (located downstream of the normal location of the DPE) (Fig. 4E, in purple). The *Mes2* gene contains a Pol II index score of  $\approx 3$  in *Toll*<sup>10b</sup> mutants, below the cut-off for stalled genes (Fig. 4D, *Toll*<sup>10b</sup> track in red). The Pol II ChIP-chip assays were extended to include *Toll*<sup>rm9/rm10</sup> and *pipe* mutants, which lack mesoderm and contain only neurogenic ectoderm or dorsal ectoderm, respectively. Indeed, *Mes2* is inactive in both mutants and displays Pol II index scores above the threshold for stalling (Fig. 4D, blue and yellow tracks). For comparison, *Wnt2* is shown, which is expressed in the dorsal ectoderm. The *Wnt2* promoter contains an Inr and PB motif, and 5' and 3' GAGA elements (Fig. 4F and G).

The *engrailed* (*en*) promoter also contains GAGA, Inr, and PB elements predictive of Pol II stalling (Fig. 4I). ChIP-chip assays in *Toll*<sup>10b</sup> mutants did not identify *en* as a stalled gene, because it contains a Pol II index  $< 4$  (7). This low score probably reflects the fact that *en* is a segmentation gene and is expressed in a series of stripes along the length of the embryo. *en* stripes are expressed in all three tissues across the dorsal-ventral axis, the mesoderm, neurogenic ectoderm, and dorsal ectoderm (Fig. 4H). Thus, none of the mutants we examined (*Toll*<sup>10b</sup>, *Toll*<sup>rm9/rm10</sup>, and *gd*<sup>7</sup>) eliminate *en* expression. However, permanganate footprint assays identified hypersensitive T and C residues that extend from +42 to +57 in *Toll*<sup>10b</sup> mutants, where it contains the highest stalling index (Fig. 4J). There is only weak KMnO<sub>4</sub>-hypersensitivity in *Toll*<sup>rm9/rm10</sup> mutants, which contain the lowest stalling index. Finally, *gd*<sup>7</sup> mutants contain an intermediate Pol II index (Fig. 4H) and display clear hypersensitivity in the region extending from +53 to +67, suggesting a shift in the site of Pol

II pausing in the dorsal ectoderm compared with the mesoderm. This evidence for Pol II pausing is entirely consistent with the recent demonstration that the *en* promoter region contains Nelf-E, a known inhibitor of Pol II elongation (20).

### Discussion

We have presented evidence that core promoters containing stalled Pol II in the *Drosophila* embryo are highly enriched for a GC-rich sequence motif, the pause button (PB) (KCGRWCG). Approximately one-fourth of the  $\approx 1,500$  stalled promoters identified in the early embryo contain a copy of the PB motif between +1 and +60 downstream of the TSS. Although the PB does not appear to be a definitive mark for stalled genes, it is possible that a significantly higher fraction of stalled genes will prove to contain a PB or PB-related motif. First, additional GC-rich motifs were identified as being over-represented in stalled vs. constitutive promoters (see SI). Some of these additional motifs are clearly related to the PB. Second, genomic data contain inherent noise. For example, the majority of the promoter sequences that were used for this analysis are based on gene models and EST databases. Thus, a significant fraction of the sequences used in this study might not correspond to bona fide promoter sequences.

The function of the PB is currently unknown. However, the PB and other GC-rich motifs might contribute to the enhanced GC content found in the +1 to +50 region of stalled promoters. This could promote Pol II stalling by creating a "speed bump" that attenuates the movement of the Pol II complex. For example, the high GC content might require higher energy for DNA melting compared with the lower GC content seen for constitutive promoters. Alternatively, the higher GC content might stabilize nucleosomes at these positions and thereby attenuate Pol II elongation indirectly (21, 22).

The PB motif might also influence Pol II stalling by being part of the core promoter. The motif is similar to the consensus of the DPE and is sometimes located in the position of the DPE, between +25 and +35 (15, 16). It is distinct from other

**Table 3. GAGA-Inr-DPE synergy**

GAGA	Inr	DPE	Total, no.	Stalled, %	Active, %	Ambiguous, %	Fold enrichment
+	+	+	228	69	9	22	7.5
+	+	-	386	56	14	31	4.2
+	-	+	104	40	24	36	1.7
-	+	+	387	42	21	37	2.0
+	-	-	508	35	30	35	1.2
-	+	-	1,335	25	34	41	0.7
-	-	+	587	20	42	38	0.5
-	-	-	3,002	14	43	43	0.3

Promoters that have all three of GAGA, Inr, and PB show a much greater likelihood of being stalled vs promoters that have two, one, or no occurrences.



across all alternate transcripts for the gene. This is a slight modification of the method described in Zeitlinger *et al.* (7), where they compute the median only over the alternate transcript that corresponds to the maximum. This modification was made to correct potential errors made when only choosing one transcript, which may be unrepresentatively short compared to other transcripts. We focused on genes that are stalled in the early mesoderm (*Toll*<sup>10b</sup> mutants), and unless otherwise noted, we define stalled promoters as having  $SI \geq 4$  in *Toll*<sup>10b</sup>, active promoters are such that  $SI \leq 2$  for all germ layers. For all further analysis of a given gene, the TSS nearest to the maximum Pol II peak was used. Promoters from a given class were aligned such that each TSS is at the same position.

The GC content profile analysis uses a 10-bp windows relative to the TSS. The average GC content across all promoters was computed. The GC content computed from each sequence bin was used to define a log-likelihood score across all bins from -600 to +200. A score was then computed for each promoter, quantifying how closely it matches the positional GC-profile of the stalled promoters and differs from the active promoters. For promoters matching the stalled promoter profile, the score will be positive, and, for promoters matching the active promoter profile, the score will be negative. Such a log-likelihood score shows a significant correlation with the stalling index (see SI).

The motif search was performed in 50-bp windows from -100 to +100 around the TSS. In each window, all motifs defined such that their instances are within 1 mismatch from a central 8-mer were enumerated, generating  $4^8 \approx 6.5 \times 10^4$  motifs. For each motif  $j$ , an  $z$  score quantifying how enriched the motif was in the stalled promoters was defined such that

$$Z_{s,j} = \frac{N_{s,j} - p_{a,j} \Lambda_s}{\sqrt{\Lambda_s p_{a,j} (1 - p_{a,j})}},$$

where  $N_{s,j}$  is the number of stalled promoters with motif  $j$ ,  $\Lambda_s$  is the total number of mers of length  $\ell$  in a given window in the stalled promoters, and  $p_{a,j}$  is the frequency of occurrence of motif  $j$  in the same window in the active promoters. To determine a  $z$  score threshold, sequences from each bin were randomized such that the base composition at each position relative to the TSS was preserved. This is achieved by shuffling bases at a particular position across all promoters from a given promoter class. Ten randomized stalled and active promoter sets were constructed, and a  $z$  score threshold was chosen that corresponded to a  $P$  value of  $10^{-5}$ , which is essentially the smallest  $P$  value that can be resolved with one randomization. Significant motifs are then updated, and similar motifs are merged until the process converges. More information on the details of the motif finding protocol is available in the SI.

To determine which motifs most significantly characterized the stalled promoters, a multivariate regression analysis was performed to measure the

correlation with the number of occurrences of a given motif and the stalling index. To achieve this end, we created a feature vector to describe each promoter,  $x = (x_1, x_2, \dots, x_n)$ , where  $x_1 = N_{GAGA}$ , the number of GAGA sites surrounding the gene, and so on for each found motif or feature. The number of occurrences of each motif found is included as a feature in this vector. The simplest model is a linear relationship between each of these features and the stalling score

$$SI_{\text{pred}} = \theta_0 + \sum_{i=1}^n \theta_i x_i,$$

which is the standard expression for multivariate regression. These coefficients can be computed to minimize the error in the predicted stalling index,  $SI_{\text{pred}}$ , and the empirical stalling index,  $SI_{\text{emp}}$ . Each coefficient is evaluated on its individual correlation with the empirical stalling score. Therefore, the null hypothesis for each coefficient is  $H_{i,0}: \theta_i = 0$ , and each coefficient can be evaluated by using a Student's  $t$  statistic and a two-tailed  $P$  value computation. Features with  $P > 0.05$  were removed, and the analysis was repeated. The remaining significant motifs and features were then used to compute a predicted stalling index. As a figure of merit, the top 500 predicted stalled promoters (highest  $SI_{\text{pred}}$ ) contains 73% empirically stalled promoters. GAGA, Inr, and the PB are among the most significant motifs found from this analysis.

**Potassium Permanganate (KMnO<sub>4</sub>) Transcription Bubble Assay.** A KMnO<sub>4</sub> transcription bubble assay was performed as described in refs. 20 and 25. Embryos were collected 2–4, 6–8, and 10–12 h after egg deposition (AED), dechorinated, partially homogenized with a plastic pestle, and treated with 20 mM KMnO<sub>4</sub> for 90 sec on ice. Transcription start sites (TSSs) of investigated genes were identified based on the analysis of ESTs and annotated TSSs in Flybase and confirmed by genome-wide expression analysis, using tiling arrays (27). A pair of linker primers and gene-specific primers were used for ligation-mediated PCR (LM-PCR). The sequences, relative locations to TSS as +1 and melting temperatures ( $T_m$ ) were as follows: linker A, 5'-GCGGTGATTTAAAAGATCTGAATTC-3' (61.4°C); linker B, 5'-GAATTCAGATC-3'; en-LMPCR-1, 5'-ACACTGAGCCACTGATTC-3' (+154 to +134, 54.81°C); en-LMPCR-2, 5'-GCCACTGATTCCTGATTGCT-3' (+147 to +125, 60.77°C); en-LMPCR-3, 5'-GCCACTGATTCCTGATTGCTCA-3' (+147 to +123, 64.98°C); usnp-LMPCR-1, 5'-GAATCTGTCCACGTCATC-3' (+136 to +118, 51.5°C); usnp-LMPCR-2, 5'-GTCCACGTCATCGAAGTGAT-3' (+130 to +110, 58.51°C); usnp-LMPCR-3, 5'-TCCACGTCATCGAAGTGATCG-3' (+129 to +108, 64.5°C).

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